# Part 3 Responsibility for functions Appendix 4

# Scheme of Delegation of Functions to Officers

# **Table of Contents**

| i. Sche   | eme of Delegation to Officers – Change log                           | 4   |
|-----------|--|-----|
| ii. Gene  | eral Principles  | 6   |
| 1.        | Powers   | 6   |
| 2.        | Exercise of Functions  | 6   |
| 3.        | Sub-Delegation Scheme  | 6   |
| 4.        | Joint Chief Executive's Urgent Action                                | 7   |
| 5.        | Schedule of Authorisations   | 7   |
| 6.        | Amendments   | 7   |
| 7.        | Interpretation   | 8   |
| iii. Sche | edule of Authorisations  | 9   |
| A.1       | Legal Proceedings  | 9   |
| A.2       | Representing the Council in Legal Proceedings                        | 9   |
| A.3       | Land, premises, samples, records, articles, equipment or information | 9   |
| A.4       | Sealing of Documents   | 9   |
| A.5       | Instruments of Appointment   | 9   |
| A.6       | Serving of Notices   | .10 |
| A.7       | Cautions   |     |
| A.8       | Appeals  | .10 |
| A.9       | Consultant in Communicable Diseases Control                          |     |
| STATU     | TORY OFFICERS  | .12 |
| В.        | HEAD OF PAID SERVICE   | .12 |
| C.        | THE RETURNING OFFICER AND ELECTORAL REGISTRATION OFFICER             | .12 |
| D.        | THE MONITORING OFFICER   | .12 |
| E.        | THE SECTION 151 OFFICER  | .13 |
| DELEG     | ATIONS TO OFFICERS   | -   |
| F.        | JOINT CHIEF EXECUTIVE  | .13 |
| G.        | JOINT STRATEGIC DIRECTOR – COMMUNITY AND WELLBEING                   |     |
| Н.        | JOINT STRATEGIC DIRECTOR – PLACE                                     |     |
| Ι.        | JOINT STRATEGIC DIRECTOR - TRANSFORMATION AND GOVERNANCE.            | .14 |
| J.        | JOINT EXECUTIVE HEAD OF COMMUNICATIONS AND CUSTOMER SERVICES         | .14 |
|           | Communications and PR  |     |
|           |  |     |

| K. | JOINT EXECUTIVE HEAD OF COMMUNITY SERVICES                                 | 14   |
|----|--|------|
|    | Community Safety   | 14   |
|    | Voluntary Organisations and Community Grants                               | 14   |
|    | DFG's and Adaptations  | 15   |
|    | Public Health  | 15   |
| L. | JOINT EXECUTIVE HEAD OF ENVIRONMENTAL SERVICES                             | 15   |
|    | Burial or Cremation  | 15   |
|    | Environmental Protection (Street Cleaning, Waste and Recycling)            | 16   |
|    | Public Health  | 16   |
| M. | JOINT EXECUTIVE HEAD OF HOUSING SERVICES                                   | 17   |
|    | Housing and Homelessness   | 17   |
|    | Public Health  | 18   |
| N. | JOINT EXECUTIVE HEAD OF ASSETS AND PROPERTY                                | 18   |
|    | Assets and Property  | 18   |
|    | Estate Management  | 19   |
| О. | JOINT EXECUTIVE HEAD OF PLANNING DEVELOPMENT                               | 20   |
|    | Development Control  | 20   |
|    | Public Health  | 24   |
| P. | JOINT EXECUTIVE HEAD OF REGENERATION AND PLANNING POLIC                    | CY24 |
|    | Street Naming and Numbering  | 25   |
| Q. | JOINT EXECUTIVE HEAD OF REGULATORY SERVICES                                | 25   |
|    | Environmental Protection   | 25   |
|    | Environmental Health   | 26   |
|    | Food and Health & Safety   | 27   |
|    | Emergency Planning   | 27   |
|    | Closure of Streets   | 28   |
|    | Licensing  | 28   |
|    | Private Sector Housing   | 30   |
|    | Public Health  | 31   |
| R. | JOINT EXECUTIVE HEAD OF COMMERCIAL SERVICES                                | 31   |
|    | Building Control   | 31   |
|    | Car Parks  | 32   |
| S. | JOINT EXECUTIVE HEAD OF FINANCE (S1510)                                    | 33   |
|    | Financial Management   | 33   |
|    | Council Tax and NNDR   | 33   |
| Т. | JOINT EXECUTIVE HEAD OF LEGAL AND DEMOCRATIC SERVICES (MONITORING OFFICER) | 33   |

|    | Members Meetings and the Constitution              | .33 |
|----|--|-----|
| Т. | BOROUGH SOLICITOR                                  | .34 |
|    | Data Protection Act 1998                           | .34 |
|    | Regulation of Investigatory Powers Act 2000        | .34 |
| U. | JOINT EXECUTIVE HEAD OF ORGANISATIONAL DEVELOPMENT | .35 |

## i. Scheme of Delegation to Officers – Change log

| Approved                             | Version     | Details of changes  |
|--------------------------------------|-------------|---|
| Council                              | Version 1   | Approved in new format  |
| 24 April 2017                        |             |   |
| Council<br>6 June 2017               | Version 2   | New Appendix 1 (Paragraph 4) (sealing<br>documents) and Head of Service<br>delegations  |
| Council<br>20 February 2018          | Version 3   | Moves delegations on Meetings, Members and the<br>Constitution from CEx to Head of P&G<br>updates CEx from Executive Director   |
| Monitoring Officer*<br>29 March 2018 | Version 4   | Transfer of Licensing and Road Closures from<br>Head of P&G to Head of Environmental<br>Services.   |
| Council<br>19 March 2019             | Version 5   | Exercise of CEx functions by Strategic Directors,<br>plus delegations to the S151 officer (fees,<br>CIL-in-kind), Head of Customer & Corp<br>Service (grant or renewal of leases >25 yrs<br>for qualifying applications under LRA1967),<br>Head of P&G (continuing leave of absence<br>of a councillor) and Borough Solicitor<br>(charging a fee for certain Subject Access<br>Requests). |
| Monitoring Officer*<br>4 April 2019  | Version 5.1 | Approved Delegations re-allocated to appropriate<br>Heads of Service following changes to<br>Senior Management Team effective 1<br>March 2019.  |
|                                      |             | Scheme of Delegation re-numbered.   |
| Council 17 July<br>2019              | Version 6   | Amendment to M4 (g) to respond to<br>recommendation from Local Government &<br>Social Housing Ombudsman   |
| Council 10<br>December<br>2019       | Version 6.1 | E.3 deleted following revision to Financial<br>Regulation paragraph 7.2   |
| Council 18<br>February<br>2020       | Version 6.2 | I.3.1 added to reflect authorisations under Surrey<br>Joint Warranting arrangements   |
| Executive 12 May<br>2020             | Version 6.3 | Sections I, K, L, and M amended to add<br>Delegations under the Health Protection<br>(Coronavirus, Restrictions) (England)<br>Regulations 2020 Public Health (Control of<br>Diseases) Act 1984  |
| Council 9 June<br>2020               | Version 6.4 | Section M amended to reflect temporary changes to Planning Committees.  |

| Monitoring Officer*                         | Version 6.5 | Approved Delegations re-allocated to appropriate   |
|---|-------------|--|
| 29 March 2022                               |             | Head of Service following changes to<br>management structure (M5, M8 and M9<br>moved to Section H).  |
|   |             | Scheme of Delegation re-numbered.  |
| Monitoring Officer*<br>14 September<br>2022 | Version 7   | All sections amended to reflect new Joint<br>Management Structure, Joint Executive<br>Heads of Service, Statutory Officers and<br>associated authorities.  |
|   |             | Scheme of Delegation re-numbered.  |
| Council 18 October<br>2022                  | Version 7.1 | Section O amended.   |
| Monitoring Officer*<br>18 November 2022     | Version 7.2 | Sections K, L and Q amended to correct functions<br>wrongly assigned in redraft following the<br>Joint Management restructure; and changes<br>in legislation.  |
| Council 13<br>December 2022                 | Version 7.3 | Section L3 amended to remove the automatic<br>referral of Tree Preservation Orders which<br>have received objections to the relevant<br>Planning Committee; and to introduce the<br>ability for the relevant Ward member to call<br>in such matters, |
| Council 18 July<br>2023                     | Version 7.4 | Section O, revised delegations to Joint Executive<br>Head of Planning Development  |
| Monitoring Officer*                         | Version 7.5 | Section Q amended to reinstate functions omitted   |
| 5 February 2024                             |             | in error.  |
| Council 24 January<br>2024                  |             | Section T amended to delegate to the MO the<br>appointment of an Independent Persons panel in<br>accordance with the Officer Employment<br>Procedure Rules   |
| •   |             | (General Principle 6 1 1)  |

\*authorised by the Monitoring Officer (General Principle 6.1.1)

## ii. Scheme of Delegation to Officers - General Principles

### 1. Powers

- 1.1 This Scheme of Delegation is made pursuant to the Local Government Act 1972 Section 101 (and by reference to Section 100G) and the Local Government Act 2000 Section 15 and The Local Authorities (Functions and Responsibilities) (England) Regulations 2000/2853 (as amended) and all other enabling powers.
- 1.2 The powers in this Scheme of Delegation are only exercisable within the limitations in this Scheme and are subject to the provisions of the Council's Constitution, including the Financial Regulations and the Contract Procedure Rules.
- 1.3 Where the Council, a Committee, Sub-Committee, the Leader, the Executive, a Portfolio Holder or this Scheme of Delegation gives authority for any action, the officer designated shall be entitled to take all necessary steps to do so.
- 1.4 In the event that a Joint Strategic Director or a Joint Executive Head of Service's post ceases to exist or his or her responsibilities are transferred to another officer (as the case may be), temporarily or permanently, then the powers given by this Scheme of Delegation shall be exercisable by the officer in whose area of responsibility the power falls to be exercised.
- 1.5 It is in the nature of any scheme of delegation that those to whom responsibility has been delegated for a particular function, action or decision may require the delegating authority to resume responsibility for that function, action or decision.
- 1.6 Any Joint Executive Head of Service may seek permission to submit a planning application for development to be carried out by the Council (Regulation 3) and for development to be carried out by other parties on land vested in the Council (Regulation 4).

## 2. Exercise of Functions

- 2.1 All decisions by officers made under this Scheme of Delegation, may only be exercised provided they are within budgetary provision and in accordance with proper authorities, in particular the Financial Regulations.
- 2.2 Any Joint Strategic Director may exercise the powers granted to the Joint Chief Executive (including in his capacity as Head of Paid Service, Returning Officer, Electoral Registration Officer) by this scheme. This can be at the Joint Chief Executive's request or at the Joint Strategic Director's discretion in cases where the Joint Chief Executive is absent or unavailable.
- 2.3 The Joint Chief Executive or any Joint Strategic Director reporting to the Joint Chief Executive may exercise ANY of the delegated powers contained within this scheme except in relation to those functions allocated to the Chief Finance Officer (within the meaning of Section 151 of the Local Government Act 1972 and Section 112-114A of the Local Government Finance Act 1988) and to the Monitoring Officer (within the meaning of Sections 5, 5A of the Local Government and Housing Act 1989).

## 3. Sub-Delegation Scheme

3.1 Where the Joint Chief Executive, Joint Strategic Directors, Joint Executive Head[s] of Service or the Borough Solicitor are authorised to take decisions, action to implement such decisions will be taken:

- 3.1.1 in the name of (but not necessarily personally by) the Joint Chief Executive, Joint Strategic Director, Joint Executive Head of Service, or Borough Solicitor; or
- 3.1.2 by any other officer authorised by the Joint Chief Executive, a Joint Strategic Director, any Executive Joint Head of Service, or the Borough Solicitor to take such action in their name or the sub-delegate's own name.
- 3.2 The Joint Chief Executive, Joint Strategic Directors, each Joint Executive Head of Service, and the Borough Solicitor must prepare and maintain a Sub-Delegation Scheme setting out which officers have been authorised to make decisions under their delegated powers and subject to which terms and conditions. Sub-delegation Schemes must be lodged with the Democratic Services and Business Support Manager.
- 3.3 Where delegations are to be exercised after consultation with the Leader, Portfolio Holder or nominated officers, the authorised officer will carry out the consultation and ensure that a record is made.
- 3.4 Where delegations are to be exercised after consultation with a Portfolio Holder and that Portfolio Holder is unavailable, consultation shall take place with the Leader.
- 3.5 Where delegations are to be exercised after consultation with other officers, if agreement between officers cannot be reached, the matter must be referred to the Joint Chief Executive or relevant Joint Strategic Director for consideration.

### 4. Joint Chief Executive's Urgent Action

- 4.1 The Joint Chief Executive is authorised to determine matters of an urgent nature within the remit of the Executive, and which cannot wait for the next meeting of the Executive, which are not key decisions and which do not contravene established policies or budgets, after consultation with the Leader and relevant Portfolio Holder.
- 4.2 Any matters determined by the Joint Chief Executive under 4.1 above will be reported to the next meeting of the Executive.
- 4.3 As per paragraph 2.2, any Joint Strategic Director may act on the Joint Chief Executive's behalf in respect of authorising urgent actions either at the Joint Chief Executive's request or if the Chief Executive is absent or unavailable.

### 5. Schedule of Authorisations

5.1 A Schedule of Authorisations is attached at Annexe 1.

### 6. Amendments

- 6.1 Amendments to this Scheme will be approved by the Council with the following exceptions:
  - 6.1.1 in respect of the officers designated to exercise delegated authorities where changes in the management structure and post titles have resulted from organisational restructures by the Monitoring Officer.
  - 6.1.2 updates to reflect new legislation where there is no extension to the limit of the existing delegation by the Monitoring Officer.

#### 7. Interpretation

- 7.1 Any reference to a statute or statutory instrument should be taken to include any subsequent statute or statutory instrument that replaces, amends or extends it, or contains related provisions.
- 7.2 The terms "officer", "staff" or "employee" include any person employed by the Council irrespective of the particular terms and conditions under which they are employed.
- 7.3 Where a delegation is shown as being both an Executive and Non-Executive Function, advice should be sought from the Monitoring Officer regarding the individual circumstances.

## iii. Schedule of Authorisations – Annexe 1

### A.1 Legal Proceedings

A.1.1 In accordance with Article 13 of the Constitution the Borough Solicitor is authorised to institute, defend or participate in legal proceedings in respect of all functions of the Council.

## A.2 Representing the Council in Legal Proceedings

A.2.1 The Borough Solicitor is authorised to appoint any appropriate officer to appear on behalf of the Council and to conduct legal proceedings or complete formal proof in court or tribunal. A record of those officers so appointed will be kept by the Borough Solicitor in accordance with the Sub-Delegation Scheme.

### A.3 Land, premises, samples, records, articles, equipment or information

- A.3.1 The Joint Chief Executive, Joint Strategic Directors, Joint Executive Head[s] of Service, and any other officer authorised by those officers, are authorised to
  - 3.1.1 enter, visit, or inspect premises,
  - 3.1.2 procure samples,
  - 3.1.3 inspect, seize, detain or destroy any goods, articles or equipment,
  - 3.1.4 inspect, seize and detain any records, including records held in electronic form,
  - 3.1.5 demand or require information in accordance with and as provided for by any legislation covering any Council function.
- A.3.2 The Joint Chief Executive, Joint Strategic Directors, Joint Executive Head[s] of Service, Borough Solicitor and any other officer authorised by those officers, may apply to the Justices of the Peace to obtain warrants to enter premises as provided for by any legislation covering any Council function.
- A.3.3 A record of any other officers authorised as set out above will be kept by the Joint Chief Executive, Joint Strategic Directors, Joint Executive Head[s] of Service or Borough Solicitor (as appropriate) in accordance with the Sub-Delegation Scheme referred to in the General Principles.

### A.4 Sealing of Documents

A.4.1 The Joint Chief Executive, Joint Strategic Directors, Borough Solicitor, Joint Executive Head of Legal and Democratic Services and any lawyer employed by the Council are authorised to witness the sealing of Council documents.

### A.5 Instruments of Appointment

- A.5.1 The Joint Chief Executive or any Joint Strategic Director is authorised to issue Instruments of Appointment to the Joint Executive Head of Environmental Services as an inspector under the Health & Safety at Work Act 1974 and associated Acts together with all related Regulations and Orders specifying the powers exercisable, having regard to that inspector's qualifications and duties.
- A.5.2 The Joint Executive Head of Environmental Services is authorised to issue Instruments of Appointment to an inspector under the Health & Safety at Work etc. Act 1974 and associated Acts together with all related Regulations and Orders

specifying the powers exercisable having regard to that inspector's qualifications and duties

## A.6 Serving of Notices

A.6.1 The Joint Chief Executive, Joint Strategic Directors, Joint Executive Head[s] of Service, Borough Solicitor and any other authorised officer employed by the Council are authorised to serve any statutory notices as provided for by any legislation covering any Council function. A record of any other officers so authorised will be kept by the Joint Chief Executive, Joint Strategic Directors, Joint Executive Head[s] of Service or Borough Solicitor (as appropriate) in accordance with the Sub-Delegation Scheme referred to in the General Principles.

## A.7 Cautions

A.7.1 The Joint Chief Executive, Joint Strategic Directors, Joint Executive Head[s] of Service and any other officer authorised by those officers, are authorised to act as Cautioning Officer in order to issue cautions to persons making a clear and reliable admission of an offence, where it is considered that the use of a formal caution is appropriate. A record of any other officers so authorised will be kept by the Joint Chief Executive, Joint Strategic Director or Joint Executive Head of Service (as appropriate) in accordance with the Sub-Delegation Scheme referred to in the General Principles.

## A.8 Appeals

A.8.1 Unless otherwise reserved to the Council, the Executive, a Committee or Sub-Committee, as set out in their Terms of Reference, the Joint Chief Executive, Joint Strategic Directors, Joint Executive Head[s] of Service and any other officer authorised by those officers, are authorised to hear appeals as provided for by any legislation covering any Council function and in accordance with Council Policies. A record of any other officers so authorised will be kept by the Joint Chief Executive, Joint Strategic Directors or Joint Executive Head[s] of Service (as appropriate) in accordance with the Sub-Delegation Scheme referred to in the General Principles.

### A.9 Consultant in Communicable Diseases Control

- A.9.1 The Consultant in Communicable Diseases Control is authorised by the Council to carry out the following actions:
  - 9.1.1 To apply to Justices for orders and certificates with a view to preventing spread of disease.
  - 9.1.2 To apply to Justices for orders and certificates for the removal of aged or infirm persons to hospital, after consultation with the patient's General Practitioner and the Joint Executive Head of Environmental Services.
  - 9.1.3 To serve notices relating to infected food and control of notifiable diseases.

The Council operates an 'Executive and Leader' model. Therefore, the majority of the Council's functions (decision-making) lie with the Executive.

However, a range of the Council's functions are 'non-Executive' meaning that those matters are determined either by full Council or by another Committee of the Council in accordance with the Council's Constitution. Where a Function is referred

to below as 'Non-Executive', that function cannot be determined by the Executive and would, in the absence of delegation to an officer(s), be decided by full Council or the relevant Committee.

This Scheme of Delegation to Officers must therefore be read in conjunction with the Council's Constitution.

#### STATUTORY OFFICERS

#### B. HEAD OF PAID SERVICE

|     | Authority   | Function      |
|-----|---|---------------|
| B.1 | To make all decisions in relation to Human Resources functions<br>in accordance with the Council's Policies and within budgetary<br>provision, except (a), (b), (c), and (d), below which are reserved<br>to Full Council:  | Non-executive |
|     | <ul> <li>(a) the appointment of the Joint Chief Executive or Joint<br/>Strategic Directors and statutory posts;</li> <li>(b) the adoption of the annual Pay Policy Statement;</li> <li>(c) the approval of and amendments to the Pension Policy<br/>Statement;</li> <li>(d) the settlement of any staff pay award.</li> </ul> |               |

## C. THE RETURNING OFFICER AND ELECTORAL REGISTRATION OFFICER

|     | Authority  | After Consultation with   | Function      |
|-----|--|---|---------------|
| C.1 | Within the approved budget, to<br>approve scales of remuneration of<br>persons employed on Borough and<br>Parish Council elections.  | Other local authorities in Surrey.  | Non-executive |
| C.2 | <ul> <li>To amend the designation of a Polling Place, where within six months of an election</li> <li>(a) a designated polling place unexpectedly becomes unavailable; and</li> <li>(b) it is impractical to report to Council.</li> </ul> | Ward councillors, local<br>party agents and, if<br>applicable, official<br>candidates | Non-executive |
| C.3 | To appoint Deputy Electoral<br>Registration Officers subject to<br>appointees holding satisfactory<br>qualifications and experience as<br>required.  | N/a   | Non-executive |

#### D. THE MONITORING OFFICER

|      | Authority  | Function      |
|------|--|---------------|
| D.1. | The general delegations and authorisations for the Monitoring<br>Officer are as set out in the Constitution at Article 11, and the<br>Members' Code of Conduct and the Monitoring Officer Protocol at<br>Part 5 of the Constitution. | Non-executive |
| D.2  | To consider and determine requests for dispensations under Section 33 of the Localism Act 2011.  | Non-executive |

| D.3 | To appoint members to the Hearing Panel from the membership of the Standards Committee.  | Non-executive |
|-----|--|---------------|
| D.4 | To amend any names and job titles within the Constitution and<br>other constitutional documents resulting from reorganisation or<br>changes in structure/position. | Non-executive |

### E. THE SECTION 151 OFFICER

|     | Authority   | Function  |
|-----|---|-----------|
| E.1 | The delegations and authorisations for the Section 151 Officer are<br>as set out in the Constitution at Article 11, and the Financial<br>Regulations  | Executive |
| E.2 | To include properties in the List of Assets of Community Value<br>and make decisions in relation to claims for compensation.  | Executive |
| E.3 | To determine, after consultation with the Portfolio Holder for<br>Finance, applications from developers to meet some or all of their<br>obligations to pay Community Infrastructure Levy (CIL) charges<br>through 'payment in kind' (as defined in Waverley's <i>Phasing and</i><br><i>CIL-in-kind policies</i> ) | Executive |

### **DELEGATIONS TO OFFICERS**

#### F. JOINT CHIEF EXECUTIVE

|     | Authority  | Function  |
|-----|--|-----------|
| F.1 | To hear and determine appeals relating to applications to include properties in the List of Assets of Community Value. | Executive |

#### G. JOINT STRATEGIC DIRECTOR – COMMUNITY WELLBEING

|     | Authority  | Function  |
|-----|--|-----------|
| G.1 | To make strategic management decisions relating to the Council's functions as they relate to Communications and Customer Services. | Executive |
| G.2 | To make strategic management decision relation to the Council's functions as they relate to Community Services.                    | Executive |
| G.3 | To make strategic management decisions relation to<br>Environmental Services.  | Executive |
| G.4 | To make strategic management decisions relating to the Council's functions as they relate to Housing Services.                     | Executive |

### H. JOINT STRATEGIC DIRECTOR – PLACE

|     | Authority  | Function  |
|-----|--|-----------|
| H.1 | To make strategic management decisions relating to the Council's | Executive |
|     | functions as they relate to Assets and Property.                 |           |

| H.2 | To make strategic management decision relation to the Council's functions as they relate to Planning Development. | Executive |
|-----|---|-----------|
| H.3 | To make strategic management decisions relation to Regeneration and Planning Policy.                              | Executive |
| H.4 | To make strategic management decisions relating to the Council's functions as they relate to Regulatory Services. | Executive |

### I. JOINT STRATEGIC DIRECTOR – TRANSFORMATION AND GOVERNANCE

|     | Authority   | Function  |
|-----|---|-----------|
| I.1 | To make strategic management decisions relating to the Council's functions as they relate to Commercial Services.                       | Executive |
| 1.2 | To make strategic management decision relation to the Council's functions as they relate to Finance (including the Section151 Officer). | Executive |
| I.3 | To make strategic management decisions relation to Legal and Democratic Services (including the Monitoring Officer).                    | Executive |
| 1.4 | To make strategic management decisions relating to the Council's functions as they relate to Organisational Development.                | Executive |

## J. JOINT EXECUTIVE HEAD OF COMMUNICATIONS AND CUSTOMER SERVICES

| ſ |     | Authority  | Function  |
|---|-----|--|-----------|
|   | J.1 | To make all day-to-day management decisions relating to the Council's functions as they relate to Customer Services. | Executive |

#### **Communications and PR**

| J.2 | To make all day-to-day management decisions relating to the<br>Council's functions as they relate to communications and public<br>relations. | Executive |
|-----|--|-----------|
|-----|--|-----------|

### K. JOINT EXECUTIVE HEAD OF COMMUNITY SERVICES

### Community Safety

|     | Authority   | Function  |
|-----|---|-----------|
| K.1 | To carry out the Council's functions and any actions authorised by<br>the Community Incident Action Group, relating to anti-social<br>behaviour, in accordance with the Anti-Social Behaviour Crime<br>and Policing Act or subsequent legislation | Executive |

### **Voluntary Organisations and Community Grants**

|     | Authority   | After Consultation with          | Function  |
|-----|---|----------------------------------|-----------|
| K.2 | To agree Service Level Agreements<br>with voluntary organisations | The relevant Portfolio<br>Holder | Executive |

### **Disabled Facilities Grants and Adaptations**

|     | Authority   | Function  |
|-----|---|-----------|
| K.3 | To undertake all actions relating to the Council's functions under<br>the Housing Grants, Regeneration and Construction Act 1996 and<br>any subsequent legislation, including but not limited to the<br>following:                                      | Executive |
|     | (a) Disabled facilities grants  |           |
| K.4 | To undertake all actions relating to the Council's functions under<br>the Regulatory Reform (Housing Assistance) (England & Wales)<br>Order 2002 for the securing of loans given for the improvement or<br>repair of dwellings as a formal Land Charge. | Executive |

#### Public Health

|     | Authority  | Function  |
|-----|--|-----------|
| K.5 | Authorised under the Public Health (Control of Diseases) Act<br>1984 and the related public health protection regulations,<br>including the Health Protection (Coronavirus, Business Closure)<br>(England) Regulations 2020 (the Business Closure Regulations)<br>and the Health Protection (Coronavirus, Restrictions) (England)<br>Regulations 2020 (the Restriction Regulations) and any updating<br>and/or amending legislation. | Executive |
|     | This delegation is also extended to the Joint Executive Heads of<br>Environmental Services, Regulatory Services, Housing Services,<br>Planning Development.  |           |

### L. JOINT EXECUTIVE HEAD OF ENVIRONMENTAL SERVICES

#### **Burial or Cremation**

|     | Authority  | Function  |
|-----|--|-----------|
| L.1 | To arrange the burial or cremation of persons for whom no other<br>suitable arrangements for the disposal of their remains have been<br>made (under Section 46 of the Public Health (Control of Disease)<br>Act 1984) and any necessary administration of the deceased's<br>estate in liaison with the Treasury Solicitor. | Executive |

#### Green spaces

| ſ |     | Authority  | Function  |
|---|-----|--|-----------|
|   | L.2 | To make all day-to-day management decisions relating to the Council's Green Space functions. | Executive |

#### **Tree Management**

| L.3 | To take action, make decisions (including determining                | Non-executive |
|-----|--|---------------|
|     | applications for works to protected trees and prior notifications of |               |
|     | the intention to carry out works to trees in conservation areas),    |               |
|     | serve notices and carry out works relating to trees pursuant to the  |               |
|     | Town and Country Planning Act 1990, the Hedgerow Regulations         |               |

| 1997 and Section 23 of the Local Government (Miscellaneous<br>Provisions) Act 1976, and any Regulations made in relation to this<br>legislation or any amendments, <b>except for</b> the confirmation or<br>revocation of a tree preservation order where objections have<br>been received shall only be determined under delegated powers<br>after the Joint Executive Head of Environmental Services (or any<br>officer nominated by them) has received written confirmation from<br>the relevant Ward members(s) that they do not wish the matter to<br>be determined by the relevant Planning Committee. |
|--|
|--|

## **Environmental Protection (Street Cleaning, Waste and Recycling)**

|     | Authority   | Function  |
|-----|---|-----------|
| L.4 | To make all decisions, take all actions and exercise all powers in<br>respect of the Council's environmental protection functions in<br>accordance with any one or number of the following legislation<br>and/or any adopted policy(ies) of the Council and/or any other<br>subsequent new or replacing legislation relating to the Council's<br>environmental protection functions:  | Executive |
|     | <ul> <li>i. the Control of Pollution Act 1974 (as amended)</li> <li>ii. the Environmental Protection Act 1990</li> <li>iii. the Environment Act 1995</li> <li>iv. the Dog (Fouling of Land) Act 1996</li> <li>v. The Anti-Social Behaviour Crime and Policing Act 2014 and Anti-Social Behaviour Act 2003</li> <li>vi. Clean Neighbourhoods and Environment Act 2005</li> <li>vii. the Refuse Disposal (Amenity) Act 1978</li> <li>viii. Environment Act 1995</li> <li>including but not limited to the following:</li> </ul> |           |
|     | <ul> <li>A. Waste collection</li> <li>B. Recycling</li> <li>C. Controlled waste</li> <li>D. Litter</li> <li>E. Dog fouling</li> <li>F. Graffiti removal</li> <li>G. Abandoned vehicles and other refuse</li> <li>H. Prevention of crime and disorder</li> <li>I. Drug and alcohol abuse/misuse of substances</li> </ul> except for the award of the Waste Collection and Recycling Contract which is reserved to the Executive.   |           |

#### **Public Health**

|     | Authority  | Function  |
|-----|--|-----------|
| L.5 | Authorised under the Public Health (Control of Diseases) Act<br>1984 and the related public health protection regulations,<br>including the Health Protection (Coronavirus, Business Closure)<br>(England) Regulations 2020 (the Business Closure Regulations) | Executive |

| and the Health Protection (Coronavirus, Restrictions) (England)<br>Regulations 2020 (the Restriction Regulations) and any updating<br>and/or amending legislation. |  |
|--|--|
| This delegation is also extended to the Joint Executive Heads of<br>Environmental Services, Regulatory Services, Housing Services,<br>Planning Development.        |  |

## M. JOINT EXECUTIVE HEAD OF HOUSING SERVICES

#### Housing and Homelessness

| Aut   | hority  | Function   |
|---|---|--|
| resp<br>acc<br>and<br>sub   | make all decisions, take all actions and exercise all powers in<br>pect of the Council's housing and homelessness functions in<br>ordance with any one or number of the following legislation<br>l/or any adopted policy(ies) of the Council and/or any other<br>sequent new or replacing legislation relating to the Council's<br>using and homelessness functions:  | Non-executive,<br>or Executive as<br>appropriate to<br>the function<br>being exercised |
| X<br>X<br>X<br>X<br>X<br>X<br>X<br>X<br>X<br>X<br>X<br>X<br>X<br>X<br>X<br>X<br>X<br>X<br>X | <ul> <li>i. Housing Act 1985</li> <li>ii. Local Government (Miscellaneous Provisions) Acts<br/>1976 and 1982</li> <li>iii. Local Government and Housing Act 1985</li> <li>iv. Housing Act 1996</li> <li>v. Children Act 1989</li> <li>vi. Regulation 3 of the Town and Country Planning<br/>(General) Regulations 1992 – except for the<br/>determination of planning applications which is reserved<br/>to the relevant Area or Joint Planning Committee</li> <li>vii. Housing Act 2004</li> <li>iii. Anti-Social Behaviour, Crime and Policing Act 2014</li> <li>ix. Clean Neighbourhoods and Environment Act 2005</li> <li>x. Leasehold Reform, Housing and Urban Development<br/>Act 1993</li> <li>xi. Leasehold Reform Act 1967</li> <li>xii. Housing and Planning Act 2016</li> <li>iiii. Homelessness Act 2002</li> <li>iv. Housing, Grants, Construction and Regeneration Act<br/>1996</li> <li>xv. Prevention of Damage by Pests Act 1949</li> <li>vi. Public Health Acts 1936 and 1961</li> <li>vii. Caravan Sites and Control of Development Act 1960</li> <li>iii. Environmental Protection Act 1990</li> <li>ix. Public Health (Control of Disease) Act 1984</li> <li>caravan Sites Act 1968 and Mobile Homes Act 2013</li> <li>xi. Protection from Eviction Act 1977</li> <li>xii. Building Act 1984</li> <li>iv. Energy Act 2013</li> <li>cv. Energy Act 2011</li> </ul> |  |

| M.2 | To make decisions to depart from the Council's Allocations Policy in special needs circumstances.             | Executive |
|-----|---|-----------|
| M.3 | To review decisions under the Allocation of Housing and<br>Homelessness (Review Procedures) Regulations 1999. | Executive |

|     | Authority   | After Consultation with          | Function  |
|-----|---|----------------------------------|-----------|
| M.4 | To review decisions taken under the<br>Personal Files (Housing) Regulations<br>Act 1989 concerning access to, or<br>correction or erasure of information<br>held in housing records of which a<br>tenant (or member of his family) is<br>aggrieved. | The relevant Portfolio<br>Holder | Executive |

|     | Authority   | Function  |
|-----|---|-----------|
| M.5 | To make decisions under Access to Personal Files (Housing)<br>Regulations 1989. | Executive |
| M.6 | To make decisions under the Rent Deposit Guarantee Scheme.                      | Executive |

#### **Public Health**

|     | Authority  | Function  |
|-----|--|-----------|
| M.7 | Authorised under the Public Health (Control of Diseases) Act<br>1984 and the related public health protection regulations,<br>including the Health Protection (Coronavirus, Business Closure)<br>(England) Regulations 2020 (the Business Closure Regulations)<br>and the Health Protection (Coronavirus, Restrictions) (England)<br>Regulations 2020 (the Restriction Regulations) and any updating<br>and/or amending legislation. | Executive |
|     | This delegation is also extended to the Joint Executive Heads of<br>Environmental Services, Regulatory Services, Housing Services,<br>Planning Development.  |           |

### N. JOINT EXECUTIVE HEAD OF ASSETS AND PROPERTY

#### **Assets and Property**

|        | Authority   | Function  |
|--------|---|-----------|
| N.1. 2 | To evaluate opportunities to buy back all or part of a former<br>Council property (to include determining right of first refusal<br>and percentage of ownership). | Executive |
| N.2    | To proceed to initial assessment of buy back or open market purchase if the opportunity meets the Council's criteria/policy.                                      | Executive |
| N.3    | To proceed to initial assessment for a land purchase if the opportunity meets the Council's criteria/policy   | Executive |

|      | Authority  | After Consultation<br>with                                 | Function  |
|------|--|--|-----------|
| N.4  | To proceed to initial assessment of<br>buy back or open market purchase if<br>the opportunity does not meet the<br>Council's criteria/policy | Portfolio Holders on the<br>Housing Delivery Board         | Executive |
| N.5  | To proceed to initial assessment for a land purchase if the opportunity does not meet the Council's criteria/policy                          | Portfolio Holders on the Housing Delivery Board            | Executive |
| N.6  | To proceed to negotiation stage of buy<br>back or open market purchase if the<br>purchase price falls within overall<br>budget               | Portfolio Holders on the<br>Housing Delivery Board         | Executive |
| N.7  | To negotiate on price of buy back or open market purchase  | Strategic Director and<br>Estates and Valuation<br>Manager | Executive |
| N.8  | To proceed with buy back or open<br>market purchase if within budget or<br>purchase price and works are needed                               | Portfolio Holders on the Housing Delivery Board            | Executive |
| N.9  | To negotiate on land purchases   | Strategic Director and<br>Estates and Valuation<br>Manager | Executive |
| N.10 | To proceed with land purchase if within agreed financial limits  | Portfolio Holders on the<br>Housing Delivery Board         | Executive |

#### Estate Management

|      | Authority   | After Consultation with                              | Function   |
|------|---|--|--|
| N.11 | To agree, with any supplier agreed<br>by the Council, changes to the cost<br>of supplying gas and electricity<br>services at different times within the<br>contract period in accordance with<br>the terms of the contract. | The relevant Portfolio<br>Holder                     | Executive  |
| N.12 | To approve expenditure from the<br>Repairs and Maintenance Fund on<br>individual or related items up to<br>£20,000.   | The Leader or the<br>appropriate Portfolio<br>Holder | Non-executive,<br>or Executive as<br>appropriate to<br>the function<br>being exercised |

|      | Authority   | Function  |
|------|---|-----------|
| N.13 | To undertake all actions in relation to the administration of the Council's estate and property portfolio, and its <b>ownership</b> of land or property, <b>except for</b> acquisitions or disposals of land or property in excess of £250,000 which are reserved to the full | Executive |

|      |              | ncil and Executive (on the recommendation of the Investment sory Board).   |           |
|------|--------------|--|-----------|
| N.14 | Cou<br>or pr | ndertake all actions in relation to the administration of the<br>ncil's estate and property portfolio, and its <b>interests</b> in land<br>roperty <b>except for</b> the following matters which are reserved<br>e Executive:  | Executive |
|      | (i)          | acquisitions or disposals of interests in land or property with a value in excess of £250,000; and   |           |
|      | (ii)         | the grant or renewal of all leases in excess of 25 years,<br>other than qualifying applications made under the<br>Leasehold Reform Act 1967 to extend the lease or acquire<br>the freehold where the price reflects the independent<br>valuation and all costs are met by the applicant. |           |

#### Land Drainage

|      | Authority   | Function |
|------|---|----------|
| N.15 | N.15 To take all actions to alleviate flooding under the Water<br>Management Acts, Water Act 1989 and Land Drainage Act 1991<br>relating to the Council's land drainage functions, <b>except for</b> the<br>following which are reserved to the Executive:                            |          |
|      | <ul> <li>approval of the annual Drainage Works Programme;</li> <li>authority to submit funding bids for projects requiring<br/>external funding for drainage works on third party land<br/>where this would require the Council to part-fund from the<br/>Drainage Reserve</li> </ul> |          |

### O. JOINT EXECUTIVE HEAD OF PLANNING DEVELOPMENT

#### **Development Control**

|    | Authority   | Function |
|----|---|----------|
| 01 | D1 To make all decisions, take all actions and exercise all<br>powers in respect of the Council's Development<br>Management, and Planning Enforcement functions in<br>accordance with any one or number of the following<br>legislation (and any regulations and/or statutory instruments<br>made thereunder) and/or any adopted policy(ies) of the<br>Council and/or any other subsequent new or replacing<br>legislation relating to the Council's Development<br>Management, and Planning Enforcement functions: |          |
|    | <ul> <li>i. Caravan Sites and Control of Development Act 1960</li> <li>ii. Countryside and Rights of Way Act 2000</li> <li>iii. Enterprise and Regulatory Reform Act 2013</li> <li>iv. Environment Act 2021</li> <li>v. Environmental Protection Act 1990</li> <li>vi. Growth and Infrastructure Act 2013</li> </ul>  |          |

| ::     | Lleveiner Act 0004   |  |
|--------|--|--|
| vii.   | Housing Act 2004   |  |
| viii.  | Human Rights Act 1998  |  |
| ix.    | Infrastructure Act 2015  |  |
| Х.     | Local Democracy, Economic Development and  |  |
| vi     | Construction Act 2009  |  |
| Xi.    | Local Government Act 2003  |  |
| Xii.   | Localism Act 2011  |  |
| xiii.  | Planning (Hazardous Substances) Act 1990   |  |
| xiv.   | Planning (Listed Buildings and Conservation Areas)<br>Act 1990   |  |
| XV.    | Planning Act 2008  |  |
| xvi.   | Planning and Compensation Act 1991   |  |
| xvii.  | Planning and Compulsory Purchase Act 2004  |  |
| xviii. | Pollution Prevention and Control Act 1999  |  |
| xix.   | Town and Country Planning Act 1990   |  |
| XX.    | Self-build and Custom Housebuilding Act 2015.<br>Neighbourhood Planning Act 2017   |  |
| xxi.   | Anti-Social Behaviour Act 2003   |  |
| xxii.  | The Conservation and Habitat and Species   |  |
|        | Regulations 2010   |  |
| Incluc | ding:  |  |
| (A)    | To determine planning applications, applications for<br>advertisement consent, non-material amendments,<br>and minor material amendments applications, details<br>to comply with conditions, variation/removal of<br>condition applications, prior notifications/prior<br>approval notices, permissions in principle, and<br>certificates of lawfulness. |  |
| (B)    | To determine applications for Listed Building and<br>Conservation Area consent and to take action in<br>relation to Listed Buildings and Conservation Areas.   |  |
| (C)    | To respond to consultations and notifications from other local authorities, public bodies, etc.  |  |
| (D)    | To determine the need for an Environmental Impact<br>Assessment and/or a Strategic Environmental<br>Assessment.  |  |
| (E)    | To make Screening and Scoping Opinions.  |  |
| (F)    | To undertake Screening Opinions and Appropriate<br>Assessments pursuant to Part IV of the Conservation<br>(Natural Habitats and Conservation) Regulations<br>1994.   |  |
| (G)    | To take direct action/seek injunctions in relation to<br>breaches of planning control, remove unauthorised<br>signage/advertisements and remedying the condition<br>of land.   |  |

| (H) | To serve, amend, vary, withdraw, or revoke any<br>notices, permissions, or certificates (as appropriate)<br>(including but not limited to enforcement notices,<br>breaches of condition notices, temporary stop<br>notices, stop notices, amenity notices, planning<br>contravention notices, breach of condition notices<br>community infrastructure stop notices, certificates of<br>lawfulness). |  |
|-----|---|--|
| (I) | To fulfil functions in connection with the proper maintenance of land (Section 215 Notices).  |  |
| (J) | To decide not to take enforcement action when it is not considered expedient to do so.  |  |
| (K) | To deal with all matters relating to High Hedges.   |  |
| (L) | On receipt of a Hedgerow Replacement Notice to determine whether the hedge is important in accordance with the Hedgerow Regulation 1997.  |  |
| (M) | In the case of important hedges to issue Hedgerow Replacement Notice in accordance with the Hedgerow Regulation 1997.   |  |
| (N) | To authorise the waiving of replanting requirements<br>in accordance with Section 206 of the Town and<br>Country Planning Act 1990.   |  |
| (O) | To authorise the service of tree replacement notices<br>under Section 207 and 213 of the Town and Country<br>Planning Act 1990 (as amended).  |  |
| (P) | To make provisional Tree Preservation Orders.   |  |
| (Q) | In consultation with the Chair of the Planning<br>Committee and the relevant local ward councillor(s),<br>to confirm unopposed Tree Preservation Orders.  |  |
| (R) | In consultation with the Chair of the Planning<br>Committee and the relevant local ward councillor(s)<br>to decide not to confirm a Tree Preservation Order.  |  |
| (S) | To respond to the Licencing Authority in connection<br>with consultations on applications under the<br>Licencing Act 2003 and Gambling Act 2005 on behalf<br>of the Local Planning Authority.   |  |
| (T) | To apply to the Licencing Authority for a review of a premises licence or club premises certificate or licence under Section 197 of the Gambling Act 2005.  |  |
| (U) | To maintain the Local Land Charges Register and issue certificates of search.   |  |
| (V) | The making and/or adoption of any plan for the purposes of neighbourhood planning.  |  |

|          | (W)  | In consultation with the Port<br>Officer, to review annually the<br>charging regime, including the   | he pre-application  |          |
|----------|--|--|---|----------|
|          | (X)  | In consultation with the app<br>to review and adjust the lev<br>Assisted Land Charges Ser<br>companies.  | el of fees charged for the  |          |
|          | (Y) To decline to determine repetitive applications. |  |   |          |
|          | (Z)  | To make and confirm Article are no objections.   | e 4 Directions where there  |          |
|          | EXCE   | PT FOR   |   |          |
|          | 1.   | Planning applications define<br>Government (i.e. 10 or more<br>accommodation, more than<br>floorspace and site area of<br>which in the judgement of the<br>of Planning Development has<br>implications for the authority | e units of residential<br>1,000 sqm commercial<br>more than 1 hectare) and<br>ne Joint Executive Head<br>ave major strategic                            |          |
|          | 2.   | Planning applications where<br>Councillor asks that the app<br>the Planning Committee and<br>planning grounds to the Join<br>Planning Development in co<br>of the Planning Committee.                                    |   |          |
|          | 3.   | A householder application v<br>letters of representation with<br>officer recommendation.   |   |          |
|          | 4.   | A major or minor application<br>20 or more valid letters of re<br>con contrary to the officer re   | epresentation with a view   |          |
|          | 5.   | Any planning application wh applicant.   | nere the Council is the   |          |
|          | 6.   | Any planning application wh<br>Waverley Borough Councille<br>Joint Officer, or their relative  | or or employee, including   |          |
|          | 7.   | Any planning application wh<br>referred to the Secretary of  | •   |          |
|          | Autho  | prity  | After consultation with   |          |
| 02       | 106 le<br>Town<br>1990<br>applic<br>Plann            | ree variations to Section<br>egal agreements under the<br>and Country Planning Act<br>which relate to planning<br>cations determined by the<br>ing Committees, except<br>e the variation proposed                        | The Chair of Planning<br>Committee and Ward<br>Councillors (with the<br>exception of deeds of<br>variation that secure all<br>original clauses, secured |          |
| <u> </u> |  |  | 23  | <u> </u> |
|          |  |  |   |          |

|    | relates to the proposed tenure<br>mix and amount of the affordable<br>housing provision.   | for applications under<br>s73 or s73A) |
|----|--|--|
| 03 | <ul> <li>To agree the terms of, and variations to, Section 106 Legal Agreements under the Town and Country Planning Act 1990 in connection with the grant of planning permission:</li> <li>under delegated powers; or</li> <li>Section 106 agreements that are the subject of an appeal; or</li> <li>following a refusal on appeal.</li> </ul> | N/A                                    |

## **Public Health**

|     | Authority  | Function  |
|-----|--|-----------|
| 0.7 | Authorised under the Public Health (Control of Diseases) Act<br>1984 and the related public health protection regulations,<br>including the Health Protection (Coronavirus, Business Closure)<br>(England) Regulations 2020 (the Business Closure Regulations)<br>and the Health Protection (Coronavirus, Restrictions) (England)<br>Regulations 2020 (the Restriction Regulations) and any updating<br>and/or amending legislation. | Executive |
|     | This delegation is also extended to the Joint Executive Heads of<br>Environmental Services, Regulatory Services, Housing Services,<br>Planning Development.  |           |

## P. JOINT EXECUTIVE HEAD OF REGENERATION AND PLANNING POLICY

|     | Authority   | Function  |
|-----|---|---|
| P.1 | To make all decisions, take all actions and exercise all powers in<br>respect of the Council's Planning functions in accordance with any<br>one or number of the following legislation (and any regulations<br>and/or statutory instruments made thereunder) and/or any<br>adopted policy(ies) of the Council and/or any other subsequent<br>new or replacing legislation relating to the Council's Planning<br>Policy functions: | Non-executive<br>or Executive as<br>appropriate to<br>the function<br>being exercised |
|     | <ul> <li>i. Caravan Sites and Control of Development Act 1960</li> <li>ii. Countryside and Rights of Way Act 2000</li> </ul>  |   |

|        | 1  |  |
|--------|--|--|
| iii.   | Enterprise and Regulatory Reform Act 2013              |  |
| iv.    | Environment Act 1995                                   |  |
| V.     | Environmental Protection Act 1990                      |  |
| vi.    | Growth and Infrastructure Act 2013                     |  |
| vii.   | Housing Act 1996                                       |  |
| viii.  | Housing Act 2004                                       |  |
| ix.    | Human Rights Act 1998                                  |  |
| Х.     | Infrastructure Act 2015                                |  |
| xi.    | Local Democracy, Economic Development and              |  |
|        | Construction Act 2009                                  |  |
| xii.   | Local Government Act 2003                              |  |
| xiii.  | Localism Act 2011                                      |  |
| xiv.   | Planning (Hazardous Substances) Act 1990               |  |
| XV.    | Planning (Listed Buildings and Conservation Areas) Act |  |
|        | 1990   |  |
| xvi.   | Planning Act 2008                                      |  |
| xvii.  | Planning and Compensation Act 1991                     |  |
| xviii. | Planning and Compulsory Purchase Act 2004              |  |
| xix.   | Pollution Prevention and Control Act 1999              |  |
| XX.    | Town and Country Planning Act 1990                     |  |
| xxi.   | Building Act 1984                                      |  |
| xxii.  |  |  |
| xxiii. | 5  |  |
| xxiv.  | Anti-Social Behaviour Act 2003                         |  |
|        |  |  |

#### **Street Naming and Numbering**

|     | Authority   | After Consultation with   | Function  |
|-----|---|---|-----------|
| P.2 | To determine the names of highways<br>under the Public Health Act 1925<br>(including subsequently amending<br>and replacement legislation). | The appropriate Portfolio<br>Holder and appropriate<br>ward councillors | Executive |

|   |     | Authority   | Function  |
|---|-----|---|-----------|
| ł | P.3 | To exercise the street numbering function under the Public Health<br>Act 1925 (including subsequent amending and replacement<br>legislation). | Executive |

## Q. JOINT EXECUTIVE HEAD OF REGULATORY SERVICES

#### **Environmental Protection**

|     | Authority   | Function  |
|-----|---|-----------|
| Q.1 | To make all decisions, take all actions and exercise all powers in respect of the Council's environmental protection functions in accordance with any one or number of the following legislation and/or any adopted policy(ies) of the Council and/or any other subsequent new or replacing legislation relating to the Council's environmental protection functions: | Executive |

| · · · · · |                  |   |  |
|-----------|------------------|---|--|
|           | i.               | the Control of Pollution Act 1974 (as amended)        |  |
|           | ii.              | the Environmental Protection Act 1990                 |  |
|           | iii.             | the Environment Act 1995                              |  |
|           | iv.              | the Dog (Fouling of Land) Act 1996                    |  |
|           | ۷.               | The Anti-Social Behaviour Crime and Policing Act 2014 |  |
|           |                  | and Anti-Social Behaviour Act 2003                    |  |
|           | vi.              | Clean Neighbourhoods and Environment Act 2005         |  |
|           | vii.             | the Refuse Disposal (Amenity) Act 1978                |  |
|           | viii.            | Environment Act 1995                                  |  |
|           | ix.              | Pollution Prevention and Control (England & Wales)    |  |
|           |                  | Regulations 2000 (as amended)                         |  |
|           | х.               | Pollution Prevention and Control Act 1999             |  |
|           | xi.              | Criminal Justice and Public Order Act 1994            |  |
|           | xii.             | Criminal Justice and Police Act 2001                  |  |
|           | xiii.            | Dangerous Dogs Act 1991                               |  |
|           | xiv.             | Noise & Statutory Nuisance Act 1993                   |  |
|           | XV.              | the Noise Act 1996                                    |  |
|           | xvi.             | the Health Act 2006                                   |  |
|           | xvii.            | Zoo Licensing Act 1981                                |  |
|           | kviii.           | Water Industry Act 1991                               |  |
|           | xix.             | Health Act 2006                                       |  |
|           | XX.              | Sunday Trading Act 1994                               |  |
|           | xxi.             | Clean Air Act 1993                                    |  |
|           | includ           | ding but not limited to the following:                |  |
|           | Α.               | Pollution control                                     |  |
|           | В.               |   |  |
|           | C.               | Air pollution control and clean air                   |  |
|           | D.               | smoke free premises                                   |  |
|           | E.               | Dangerous and Out of Control dogs                     |  |
|           | F.               | Authorisations in relation to controlled processes    |  |
|           | G.               | Noise nuisance  |  |
|           | О.<br>Н.         | Contaminated land                                     |  |
|           | I.               | Statutory nuisance                                    |  |
|           | J.               | Litter  |  |
|           | б.<br>К.         | Dog fouling   |  |
|           | 1                | Graffiti removal                                      |  |
|           | <u>с</u> .<br>М. | Abandoned vehicles and other refuse                   |  |
|           | N.               | Prevention of crime and disorder                      |  |
|           | О.               | Drug and alcohol abuse/misuse of substances           |  |
|           | 0.               |   |  |

### **Environmental Health**

|     | Authority  | Function  |
|-----|--|-----------|
| Q.2 | To make all decisions, take all actions and exercise all powers in<br>respect of the Council's environmental health functions in<br>accordance with any one or number of the following legislation<br>and/or any adopted policy(ies) of the Council and/or any other<br>subsequent new or replacing legislation relating to the Council's<br>environmental health functions: | Executive |

| <br>   |  |  |
|--------|--|--|
| i.     | the Local Government Act 1972                              |  |
| ii.    | the Local Government (Miscellaneous Provisions) Act 1976   |  |
| iii.   | the Public Health Act 1961                                 |  |
| iv.    | the Clean Neighbourhoods and Environment Act 2005          |  |
| ٧.     | the Prevention of Damage by Pests Act 1961                 |  |
| vi.    | National Assistance Acts 1948 and 1951                     |  |
| vii.   | Water Act 1989   |  |
| viii.  | Disability Discrimination Act 1995                         |  |
| incluc | ling but not limited to the following:                     |  |
| (a)    | drains, private sewers, water closets or soil pipes        |  |
| (b)    | a satisfactory supply of wholesome water                   |  |
| (c)    | the control rats and mice                                  |  |
| (d)    | filthy or verminous premises, articles or persons          |  |
| (e)    | the prevention and suppression of nuisances                |  |
| (f)    | emergency situations arising outside normal working hours. |  |
| ()     |  |  |

## Food and Health & Safety

|     | Authority   | Function   |
|-----|---|--|
| Q.3 | To make all decisions, take all actions and exercise all powers in<br>respect of the Council's food safety and health & safety functions<br>in accordance with any one or number of the following legislation<br>and/or any adopted policy(ies) of the Council and/or any other<br>subsequent new or replacing legislation and any associated<br>regulations relating to the Council's food safety and health &<br>safety and pesticides functions:   | Non-executive<br>or Executive as<br>appropriate to<br>the functions<br>being exercised |
|     | <ul> <li>i. the European Communities Act 1972</li> <li>ii. the Food and Environment Protection Act 1985,</li> <li>iii. the Food Safety Act 1990,</li> <li>iv. the Health &amp; Safety at Work etc. Act 1974,</li> <li>v. Sunday Trading Act 1994,</li> <li>vi. Public health (Control of Diseases) Act 1984 (and regulations made thereunder (with the exception of those dealt with under the health protection regulations))</li> <li>vii. Game Act 1831</li> <li>viii. Sea Fisheries (Shellfish) Act 1967</li> <li>ix. Offices Shops and Railway Premises act 1963</li> <li>x. Food and Environment Protection Act 1985</li> </ul> |  |

### **Emergency Planning**

|     | Authority   | Function      |
|-----|---|---------------|
| Q.4 | To make all decisions, take all actions and exercise all powers in<br>respect of the Council's functions as a Category 1 Responder in<br>accordance with any one or number of the following legislation<br>and/or any adopted policy(ies) of the Council and/or any other<br>subsequent new or replacing legislation relating to the Council's<br>emergency planning functions as a Category 1 Responder: | Non-executive |

| i. | Civil Contingencies Act 2004. |  |
|----|-------------------------------|--|

### **Closure of Streets**

|     | Authority   | Function  |
|-----|---|-----------|
| Q.5 | To make and issue temporary street closures orders in connection<br>with special events in accordance with Section 21 of the Town<br>Police Clauses Act 1847. | Executive |

|     | Auth   | ority  | After Consultation with                                    | Function      |  |
|-----|--|--|--|---------------|--|
| Q.6 | The determination of applications<br>for street collections to provide<br>funding to meet a major local,<br>national, or international disaster. |  | The Chair of the Licensing<br>and Regulatory<br>Committee. | Non-executive |  |
| Q.7 | objec<br>objec   | ehalf of the Council, to submit<br>tions, and pursue such<br>tions at a public inquiry if<br>ed, to:   | The appropriate ward councillors.                          | Non-executive |  |
|     | (a)<br>(b)   | applications for operators<br>licences (including variations)<br>made under the Goods<br>Vehicles (Licensing of<br>Operators) Act 1995; and<br>reviews undertaken of<br>existing licences. |  |               |  |

# Licensing

|     | Authority   | Function      |
|-----|---|---------------|
| Q.8 | To make all decisions, take all actions and exercise all powers in<br>respect of the Council's licensing functions in accordance with<br>any one or number of the following legislation and/or any adopted<br>policy(ies) of the Council and/or any other subsequent new or<br>replacing legislation relating to the Council's licensing functions: | Non-executive |
|     | <ul> <li>i. the Regulatory Reform Act 2001</li> <li>ii. Licensing Act 2003</li> <li>iii. Gambling Act 2005</li> <li>iv. the Public Health Acts 1875, 1936 and 1961 (as amended)</li> </ul>  |               |
|     | <ul> <li>v. Public Health (Control of Disease) Act 1984</li> <li>vi. Riding Establishments Acts 1964 and 1970</li> <li>vii. Dangerous Wild Animals Act 1976</li> <li>viii. the Local Government (Miscellaneous Provisions) Acts 1976 and 1982 (as amended),</li> </ul>  |               |
|     | <ul> <li>ix. Prevention of Damage by Pests Act 1949</li> <li>x. the Scrap Metal Dealers Act 2013</li> <li>xi. Sunday Trading Act 1994</li> </ul>  |               |

| xii.<br>xiii. | Town Police Clauses Act 1847 and 1889<br>Guard Dogs Act 1975                                    |  |
|---------------|---|--|
| xiv.          | Animal Health Act 1981  |  |
| XV.           | Animal Health and Welfare Act 1984  |  |
| xvi.          | Control of Dogs Order 1992  |  |
| xvii.         | Animal Welfare Act 2006   |  |
| xviii.        | The Animal Welfare (Licensing of Activities involving   |  |
|               | Animals) (England) Regulations 2018   |  |
| xix.          | Zoo Licensing Act 1981  |  |
| XX.           | Business and Planning Act 2020  |  |
|               | ing but not limited to the following:   |  |
| Α.            | Personal, premises, club premises licences and  |  |
| <b>_</b>      | Temporary Event Notices   |  |
| В.            | Hackney carriage drivers and vehicles, and private hire   |  |
|               | drivers, vehicles and operators (except any applications  |  |
|               | that reveal convictions for offences that might affect the                                      |  |
| C.            | suitability of a person to hold a licence)<br>House to house and street collections             |  |
| D.            | Club gaming/club machine permits and small society  |  |
| υ.            | lotteries   |  |
| E.            | Sexual Entertainment Venues   |  |
| F.            | Street trading  |  |
| G.            | Scrap metal dealers   |  |
| Н.            | Animal boarding establishments, riding establishments,  |  |
|               | dog breeders, pet shops and dangerous wild animals  |  |
| Ι.            | Game dealers  |  |
| J.            | Tattooing, acupuncture, ear piercing, cosmetic piercing   |  |
|               | and electrolysis  |  |
| K.            | Sunday trading  |  |
| L.            | Pavement Licensing  |  |
| ехсер         | ot for  |  |
| a)            | approval of and amendments to the Statement of  |  |
|               | Licensing Policy and the Gambling Policy which are  |  |
|               | reserved to Council;  |  |
| b)            | approval and amendments to policies relating to functions                                       |  |
|               | under the Acts set out above which is reserved to the   |  |
|               | Licensing and Regulatory Committee;   |  |
| c)            | approval of increases in the hackney carriage fare scale  |  |
|               | and amendments to the scale of charges for hackney  |  |
|               | carriage and private hire licence fees, which is reserved to                                    |  |
| -1)           | the Executive;  |  |
| d)            | the designation of and amendments to hackney carriage   |  |
|               | vehicle ranks which is reserved to the Licensing and  |  |
|               | Regulatory Committee;   |  |
| e)            | the power to make an Order identifying a place as a   |  |
|               | designated public place for the purposes of police powers                                       |  |
|               | in relation to alcohol consumption which is reserved to the Licensing and Regulatory Committee; |  |
| 1             |   |  |

|      | <ul> <li>f) all applications and appeals reserved to the Licensing Sub<br/>Committee as set out in its Terms of Reference at Part 3,<br/>Section E of the Constitution.</li> </ul>  |               |
|------|---|---------------|
| Q.9  | To authorise officers of other Surrey Licensing Authorities<br>participating in a Joint Warranting Scheme to enforce all relevant<br>Hackney Carriage and Private Hire licensing legislation. [Council<br>18 February 2020].                      | Non-executive |
| Q.10 | On behalf of the Council as the Responsible Authority for<br>Environmental Health and/or the Responsible Authority for Health<br>and Safety, under the Licensing Act 2003, subsequent<br>amendments and all relevant legislation and regulations: | Non-executive |
|      | <ul> <li>a) to make a relevant representation</li> <li>b) to apply for a review of a premises licence</li> <li>c) to apply for a review of a club premises certificate</li> </ul>   |               |
| Q.11 | On behalf of the Council as the Responsible Authority for<br>Licensing under the Licensing Act 2003, subsequent<br>amendments and all relevant legislation and regulations:   | Non-executive |
|      | <ul> <li>a) to make a relevant representation</li> <li>b) to apply for a review of a premises licence</li> <li>c) to apply for a review of a club premises certificate</li> </ul>   |               |

## **Private Sector Housing**

| $\mathbf{T}$ = 1.4 ( $\mathbf{r}$ = $\mathbf{r}$ )   |  |   |
|--|--|---|
| To determine the charges for default<br>works undertaken pursuant to the<br>Public Health and Housing Acts and<br>the clearance of private drains and<br>private sewers, all in respect of<br>Environmental Protection legislation.  | The relevant Portfolio<br>Holder   | Executive   |
| respect of the Council's housing and hor<br>accordance with any one or number of the<br>and/or any adopted policy(ies) of the Co   | pect of the Council's housing and homelessness functions in<br>cordance with any one or number of the following legislation<br>d/or any adopted policy(ies) of the Council and/or any other<br>psequent new or replacing legislation relating to the Council's   |   |
| <ul> <li>1976 and 1982</li> <li>iii. Local Government and Housir</li> <li>iv. Housing Act 1996</li> <li>v. Children Act 1989</li> <li>vi. Regulation 3 of the Town and<br/>(General) Regulations 1992 –<br/>determination of planning app<br/>to the relevant Area or Joint P</li> </ul> | ng Act 1985<br>Country Planning<br>except for the<br>lications which is reserved   |   |
|  | <ul> <li>Public Health and Housing Acts and<br/>the clearance of private drains and<br/>private sewers, all in respect of<br/>Environmental Protection legislation.</li> <li>To make all decisions, take all actions a<br/>respect of the Council's housing and hou<br/>accordance with any one or number of t<br/>and/or any adopted policy(ies) of the Co<br/>subsequent new or replacing legislation<br/>housing and homelessness functions: <ol> <li>Housing Act 1985</li> <li>Local Government (Miscelland<br/>1976 and 1982</li> <li>Local Government and Housin<br/>iv. Housing Act 1996</li> <li>Children Act 1989</li> <li>Regulation 3 of the Town and<br/>(General) Regulations 1992 –<br/>determination of planning app</li> </ol> </li> </ul> | Public Health and Housing Acts and<br>the clearance of private drains and<br>private sewers, all in respect of<br>Environmental Protection legislation.<br>To make all decisions, take all actions and exercise all powers in<br>respect of the Council's housing and homelessness functions in<br>accordance with any one or number of the following legislation<br>and/or any adopted policy(ies) of the Council and/or any other<br>subsequent new or replacing legislation relating to the Council's<br>housing and homelessness functions:<br>i. Housing Act 1985<br>ii. Local Government (Miscellaneous Provisions) Acts<br>1976 and 1982<br>iii. Local Government and Housing Act 1985<br>iv. Housing Act 1996<br>v. Children Act 1989<br>vi. Regulation 3 of the Town and Country Planning<br>(General) Regulations 1992 – except for the<br>determination of planning applications which is reserved<br>to the relevant Area or Joint Planning Committee |

| 1     |  |
|-------|--|
| viii  | , <b>5</b>   |
| ix    | . Clean Neighbourhoods and Environment Act 2005      |
| X     | . Leasehold Reform, Housing and Urban Development    |
|       | Act 1993   |
| xi    | . Leasehold Reform Act 1967                          |
| xii   | . Housing and Planning Act 2016                      |
| xiii  | . Homelessness Act 2002                              |
| xiv   | . Housing, Grants, Construction and Regeneration Act |
|       | 1996   |
| xv    | Prevention of Damage by Pests Act 1949               |
| xvi   |  |
| xvii  | . Caravan Sites and Control of Development Act 1960  |
| xviii | •  |
| xix   | . Public Health (Control of Disease) Act 1984        |
| xx    |  |
| xxi   | . Protection from Eviction Act 1977                  |
| xxii  | . Criminal Law Act 1977                              |
| xxiii |  |
| xxiv  |  |
| XXV   |  |
|       |  |

| Q.14 | To undertake all actions relating to the Council's functions under | Executive |
|------|--|-----------|
|      | the Housing Acts and any subsequent legislation regard to          |           |
|      | unsatisfactory housing and houses in multiple occupation.          |           |

#### Public Health

|      | Authority  | Function  |
|------|--|-----------|
| Q.17 | Authorised under the Public Health (Control of Diseases) Act<br>1984 and the related public health protection regulations,<br>including the Health Protection (Coronavirus, Business Closure)<br>(England) Regulations 2020 (the Business Closure Regulations)<br>and the Health Protection (Coronavirus, Restrictions) (England)<br>Regulations 2020 (the Restriction Regulations) and any updating<br>and/or amending legislation. | Executive |
|      | This delegation is also extended to the Joint Executive Heads of<br>Environmental Services, Regulatory Services, Housing Services,<br>Planning Development.  |           |

## R. JOINT EXECUTIVE HEAD OF COMMERCIAL SERVICES

### **Building Control**

|     | Authority  | After Consultation with          | Function  |
|-----|--|----------------------------------|-----------|
| R.1 | To adjust Building Control charges<br>appropriately to ensure income will<br>cover the cost of the chargeable<br>service | The relevant Portfolio<br>Holder | Executive |

|     | Authority  | Function  |
|-----|--|---|
| R.2 | To exercise the Council's powers in relation to Building Control legislation, including, but without prejudice to the generality of the foregoing, the determination of applications for the approval of plans and specifications, applications for relaxations, the issue and service of all Notices, the making of requirements and the decision to carry out works in default.  | Executive   |
| R.3 | To exercise the powers and duties of the Council, including the recovery of costs, relating to dangerous, dilapidated or defective buildings or structures, hoardings, scaffolding and the demolition of buildings   | Executive   |
| R.4 | To make all decisions, take all actions and exercise all powers in<br>respect of the Council's Development Management, Planning<br>Policy and Planning Enforcement functions in accordance with<br>any one or number of the following legislation (and any<br>regulations and/or statutory instruments made thereunder) and/or<br>any adopted policy(ies) of the Council and/or any other<br>subsequent new or replacing legislation relating to the Council's<br>Building Control function:<br>i. Building Act 1984 | Non-executive<br>or Executive as<br>appropriate to<br>the function<br>being exercised |

## Leisure

|     | Authority  | Function  |
|-----|--|-----------|
| R.5 | To make all day-to-day management decisions relating to the Council's Leisure functions. | Executive |

## Car Parks

|     | Authority   | Function      |
|-----|---|---------------|
| R.6 | To make all day to day management decisions relating to the management and use of the Council's car parks, <b>except for</b> the following which are reserved to the Council:   | Non-executive |
|     | <ul> <li>i. The adoption of and amendments to the Council's Car<br/>Parking Strategy;</li> <li>ii. The setting of off-street car parking charges.</li> </ul>  |               |
| R.7 | To make all decisions, take all actions and exercise all powers in<br>respect of the Council's parking functions in accordance with any<br>one or number of the following legislation and/or any adopted<br>policy(ies) of the Council and/or any other subsequent new or<br>replacing legislation relating to the Council's parking functions: | Executive     |
|     | <ul> <li>i. Highways Act 1980</li> <li>ii. Road Traffic Regulation Act 1984</li> <li>iii. Road Traffic Regulation Act 1991</li> <li>iv. Traffic Management Act 2004</li> </ul>  |               |

## S. JOINT EXECUTIVE HEAD OF FINANCE (S151 Officer)

## **Financial Management**

|     | Authority   | After Consultation with  | Function  |
|-----|---|--|-----------|
| S.1 | To set the Council Tax Base.  | The relevant Portfolio<br>Holder                               | Executive |
| S.2 | To monitor and manage the revenue<br>reserves and provisions of the<br>Council, including the release of such<br>funds within agreed policy guidelines. | Joint Chief Executive and<br>the relevant Portfolio<br>Holder. | Executive |

|     | Auth  | nority  | Function      |
|-----|---|---|---------------|
| S.3 | To approve spending from the Insurance Fund in individual projects or a group of related projects or a group of related items up to a maximum of £20,000. |   | Executive     |
| S.4 | To determine rates of interest payable under:   |   | Non-executive |
|     | (a)   | Public Health Acts and Housing Acts (rechargeable works executed by the Council)                    |               |
|     | (b)   | Local Government (Miscellaneous Provisions) Act 1976 (dangerous trees and restoration of supplies). |               |

#### Council Tax and NNDR

|     | Authority  | Function  |
|-----|--|-----------|
| S.5 | To apportion rates under Section 44A of the Local Government<br>Finance Act 1988, and any subsequent legislation, on premises<br>partially unoccupied for short periods.   | Executive |
| S.6 | To determine applications for Discretionary Rate Relief and<br>Discretionary Council Tax Reduction in accordance with the<br>adopted policy criteria and with discretion to grant applications<br>which can be funded from within the approved budget. | Executive |
| S.7 | To execute warrants of arrest for Council Tax and Non-Domestic Rate debts  | Executive |

#### T. JOINT EXECUTIVE HEAD OF LEGAL AND DEMOCRATIC SERVICES (MONITORING OFFICER)

#### Members Meetings and the Constitution

|     | Authority   | After Consultation with | Function      |
|-----|---|-------------------------|---------------|
| T.1 | To approve the annual timetable of meetings of the Council and Standing Committees. | The Leader              | Non-executive |
| T.2 | To appoint members to the Independent Remuneration Panel.                           | Group Leaders.          | Non-executive |

|     | All decisions to be reported to all Members by email.   |               |               |
|-----|---|---------------|---------------|
| T.3 | In respect of ad hoc appointments<br>during the year, to appoint or<br>nominate members and<br>representatives on outside bodies; to<br>appoint trustees; and to identify the<br>meetings of outside bodies which are<br>an approved duty for paying<br>allowances.<br>All decisions to be reported to all<br>Members by email. | Group Leaders | Non-executive |
| T.4 | To make changes to the membership<br>of any of the Council's Committees<br>as necessary during the Council<br>year, in accordance with the wishes<br>of the respective Group Leaders.   | Group Leaders | Non-executive |
|     | Any changes made to be reported to the next meeting of the Council.   |               |               |
| T.5 | To convene, where necessary, an<br>Independent Panel, as provided for<br>in the Officer Employment Procedure<br>Rules.  | The Leader    | Non-executive |
| T.6 | To grant a continuing leave of<br>absence to a councillor in relation to<br>the Local Government Act 1972 s 85,<br>in consultation with the group<br>leaders. All decisions to be reported<br>to all Members.   | Group Leaders | Non-executive |

### **BOROUGH SOLICITOR**

#### **Data Protection Act 1998**

|     | Authority   | Function  |
|-----|---|-----------|
| T.6 | To authorise the charging of a fee in respect of any manifestly<br>unfounded or excessive Subject Access Request under the Data<br>Protection Act 2018/General Data Protection Regulation | Executive |
| T.7 | To evaluate opportunities to buy back all or part of a former<br>Council property (to include determining right of first refusal and<br>percentage of ownership).                         | Executive |

#### **Regulation of Investigatory Powers Act 2000**

| Authority | After Consultation with | Function |
|-----------|-------------------------|----------|
|-----------|-------------------------|----------|

| T.8 | To amend the Council's Regulation of<br>Investigatory Powers Act 2000 Policy<br>and Procedure, to reflect changes to<br>the legislation or for better<br>performance of the Policy. | The relevant Portfolio<br>Holder and the Leader | Executive |  |
|-----|---|---|-----------|--|
| T.9 | To add to, or delete from, the list of<br>authorised officers in the Council's<br>Policy and Procedure pursuant to the<br>Regulation of Investigatory Powers<br>Act 2000.           | The relevant Portfolio<br>Holder and the Leader | Executive |  |
| U.  | U. JOINT EXECUTIVE HEAD OF ORGANISATIONAL DEVELOPMENT   |   |           |  |

| Authority | After Consultation with | Function |
|-----------|-------------------------|----------|